

1765

**PATENT** 

Examiner: Chen, Kin Chan

1765

Art Unit:

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Kenneth C. Cadien

Application No.: 09/473,391

Filed:

December 28, 1999

For: ABRASIVES FOR CHEMICAL MECHANICAL POLISHING

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

### RESPONSE TO OFFICE COMMUNICATION

Sir:

This is in response to the Office Communication mailed on March 3, 2005. The Applicant respectfully submits that the information disclosure statement (IDS) filed February 11, 2004 complies with the provisions of 37 C.F.R. 1.97(i) and respectfully requests that the Examiner places the IDS in the file under 1.97(i).

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on
(Date of Deposit)
Vanessa Sanchez
(Typed or printed name of person mailing correspondence)
Cenena your
(Signature of person mailing correspondence)

Application No.: 09/473,391 - 1 - Docket No.: 042390.P8136

# Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: <u>4</u> 7 , 20<u>05</u>

Heather M. Molleur Reg. No. 50,432

12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025 (408) 720-8300

Docket No.: 042390.P8136

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Applicant claims small entity status. See 37 CFR 1.27.										
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Code	(\$)	Code	(\$)	Fee Description		Fees Paid (\$)				
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2.	<b>EXCESS</b>	<b>CLAIM</b>	<b>FEES</b>
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	Extra Claims		Fee from below		Fees Paid (\$)
Total Claims – 20 or H HP = highest number of total claims paid fo		X	<u>\$50.00</u>	=	
Independent Claims3 or H HP = highest number of independent claims	P =	X	\$200.00	=	
Multiple Dependent Claims				=	

Large	<u>Entity</u>	Small Entity		
Fee	Fee	Fee	Fee	
Code	(\$)	Code	(\$)	Fee Description
1202	50	2202	25	Each claim over 20
1201	200	2201	100	Each independent claim over 3
1203	360	2203	180	Multiple dependent claims, if not paid
1204	200	2204	100	Reissue: each claim over 20 and more than in the original patent
1205	50	2205	25	Reissue: each independent claim more than in the original patent

SUBTOTAL (2) \$ 0.00

# 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra S	_	Number of each add'l 50 or fraction thereof		Fee from below	Fees paid (\$)
	- 100 =	/ 50 =	(round up to whole number)	х	\$250.00	

Large B	Entity	<b>Small Entity</b>		
Fee	Fee	Fee	Fee	Fee Description: Application size fee for each additional group of 50 sheets
Code	(\$)	Code	(\$)	beyond initial 100 sheets (count spec & drawings except sequences & program listings):
4004		0004	40=	11.00
1081	250	2081	125	Utility
1082	250	2082	125	Design
1083	250	2083	125	Plant
1084	250	2084	125	Reissue

SUBTOTAL (3) \$ 0.00

#### FEE CALCULATION (continued) 4. OTHER FEE(S) Fees Paid (\$) Non-English Specification, \$130 fee (no small entity discount) Large Entity **Small Entity** Fee Fee Fee Fee Code (\$) Code (\$) Fee Description 1051 130 2051 65 Surcharge - late filing fee or oath 1052 50 2052 25 Surcharge - late provisional filing fee or cover sheet 1053 130 1053 130 Non-English specification 1812 2,520 1812 2,520 For filing a request for ex parte reexamination 1813 8,800 1813 8,800 Request for inter parties reexamination 1804 920\* 1804 920\* Requesting publication of SIR prior to Examiner action 1805 1,840\* 1805 1,840\* Requesting publication of SIR after Examiner action 1251 120 2251 60 Extension for reply within first month 1252 450 2252 225 Extension for reply within second month 1253 1,020 510 Extension for reply within third month 2253 1254 1,590 2254 795 Extension for reply within fourth month 2,160 1255 2255 1,080 Extension for reply within fifth month 1401 500 2401 250 **Notice of Appeal** 1402 500 2402 250 Filing a brief in support of an appeal 1403 1,000 2403 500 Request for oral hearing 1451 1,510 1451 1,510 Petition to institute a public use proceeding 1452 500 2452 250 Petition to revive – unavoidable 1453 1,500 2453 750 Petition to revive - unintentional 700 1501 1,400 2501 **Utility issue fee (or reissue)** 1502 800 2502 400 Design issue fee 550 1503 2503 Plant issue fee 1100 1462 400 400 Petitions to the Commissioner (CFR 1.17(f) Group I) 1462 1463 200 200 1463 Petitions to the Commissioner (CFR 1.17(g) Group II) 1464 130 1464 130 Petitions to the Commissioner (CFR 1.17(h) Group III) 1807 1807 50 50 Processing fee under 37 CFR 1.17(q) 1806 180 1806 180 **Submission of Information Disclosure Stmt** 8021 40 8021 40 Recording each patent assignment per property (times number of properties) 1809 790 395 2809 For filing a submission after final rejection (see 37 CFR 1.129(a)) 1814 130 2814 65 **Statutory Disclaimer** 1810 790 2810 395 For each additional invention to be examined (see 37 CFR 1.129(b)) 1801 790 2801 395 Request for Continued Examination (RCE) 1802 900 900 1802 Request for expedited examination of a design application 1504 300 1504 300 Publication fee for early, voluntary, or normal pub. 1505 300 1505 300 Publication fee for republication 1803 130 1803 130 Request for voluntary publication or republication 1808 130 1808 130 Processing fee under 37 CFR 1.17(i) (except provisionals) 1454 1,370 1454 1,370 Acceptance of unintentionally delayed claim for priority Other fee (specify) Other fee (specify) SUBTOTAL (4) 0.00 \*Reduced by Basic Filing Fee Paid SUBMITTED BY: Typed or Printed Name: Heather M. Molleur Date: Reg. Number: \_50,432 **Telephone Number: 408-720-8300**

Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450